



Arkturus Audit and Compliance Starter Pack

For anyone tasked with business process compliance, operational audits, or ISO Certification.

Process Mining is the most accurate way to ensure what you think is happening in a process – actually is. Rather than just taking a sample to test compliance, you can now have 100% coverage of the process you are auditing. Giving you far greater accuracy and surety around the results.

There is no more reliance on anecdotal evidence. You can immediately see if all the rules and compliance requirements are being correctly applied and necessary benchmarks are met. Simultaneously you can identify gaps in your process, operations, or resourcing that are causing issues – or have the potential to create additional risk. Furthermore, rather than just one-off or scheduled audits, you can do ongoing compliance monitoring and alerting.

Roles typically interested in the Audit and Compliance Starter Pack

CFOs, Risk Managers, Operations Managers, Auditors (internal and external), Quality Managers, Compliance Managers, commercial accountants or law firms, any company undertaking ISO, or similar, certifications.

What you get for your Starter Pack

- Mining of one simple process through your business including data loading and processing.
- Full set of dashboards and process visualisations detailing how the process is actually operating, so you can truly understand the process before checking compliance.
- Full, interactive, process analysis tool to assist with identifying non-compliant activity, with an accurate gap analysis mapping the actual to the desired compliant process.
- Presentation of an “Insights Pack” to your key stakeholders at a workshop run by the project consultant, including identification of key improvement initiatives you can apply.
- 90-day subscription to the Arkturus process mining platform (post dashboard delivery) to enable the measurement of the initiatives implemented, to address compliance issues.
- Training for the Arkturus Process Mining platform and methodology.
- All-inclusive pricing, with over 100 hours of time for a data scientist, data engineer and project consultant (your key point of contact). Pricing is dependent on an assessment of complexity and requirements.

Note: Starter Pack assumes the same data set will be used for pre and post measurement.

The Arkturus 7-Step Approach

Typically delivered in
30 days
dependent on the
availability and
responsiveness of
your team

1

PLAN: Project-start meeting with your key stakeholders, Arkturus project lead and data scientist to agree scope, objectives, resources and timing. A written project charter will be signed off by all parties

2

DISCOVER: Indepth sessions with your subject matter experts and relevant technical people to capture an overview of the process and identify available data sources.

3

DEFINE: Your Arkturus data scientist defines the data requirements – this is validated by your key stakeholders and the data is extracted.

4

VISUALISE: The data extracts are processed by the Arkturus Process Mining platform and the Arkturus Data Scientist produces initial process visualisations.

5

VALIDATE: The initial process visuals are validated with your subject matter experts / stakeholders. Any adjustments required are made by the Data Scientist. **An Insights workshop with your key stakeholders is booked five working days from this point**

6

INSIGHTS WORKSHOP & KNOWLEDGE TRANSFER: We work with your team to understand and flesh out the key insights that align with the project objective. Training is provided on the Arkturus platform and methodology, which will be customised to your way of working. The Starter Project dashboards, interactive models and insights are presented at the Insight Workshop with your key stakeholders. A Compliance initiative for implementation and tracking is identified at this meeting.

7

ACTION: The Arkturus platform is used during the 90-day period to assist with analysis and measurement while the initiative is implemented

What happens once your starter project is finished?

The next steps are identified during the 90-day subscription period. These can include:

- **Continue:** subscribe to use Arkturus for ongoing Audit & Compliance initiatives for the process
- **Expand:** increase the scope for Arkturus to provide more resource to assist with other project deliverables (depending on internal resource capacity)
- **Duplicate:** run another Starter Pack project for a difference process – we don't put a limit on how many 'Starter Packs' you can run.

Note: additional resource and/or subscription costs to be advised.

GET STARTED!

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